

Europe Structured Products & Derivatives Awards 2017

Categories:

www.StructuredRetailProducts.com's (SRP's) European Ranking acknowledges the best manufacturers, distributors and service providers in the following categories:

Manufacturers:	Distributors/ Private Banks:	Service Providers:	Other Awards:
Best House, Europe	Best Distributor, Europe	Best Index Provider	Best Educational Initiative
Best House, Middle East and Africa	Best Distributor, Benelux	Best Issuance Platform	Personality of the Year
Best House, Equities	Best Distributor, Eastern Europe	Best Technology Provider	Best Private Banking Deal
Best House, Foreign Exchange	Best Distributor, France	Best Pricing and Analytics Provider	Best Insurance Deal
Best House, Interest Rates	Best Distributor, Germany and Austria	Best KID Provider	Structured Product Deal of the Year
Best House, Credit	Best Distributor, Nordics	Best Trade Association	
Best House, Commodities	Best Distributor, Southern Europe	Best Law Firm	
Best House, Warrants	Best Distributor, Switzerland	Best SP and Derivatives Exchange	
	Best Distributor, UK and Ireland	Best ETF House	
	Best Private Bank, Europe	Best Proprietary Index Provider	
	Best Capital Protected Distributor, Europe	Best Smart Beta Index Provider	
	Best Yield Enhancement Distributor, Europe		
	Best Leverage Distributor, Europe		

Scope of Data:

SRP defines structured products as investment vehicles that provide risk-and-return profiles with returns referenced to one or more underlying assets.

Award Methodology – General Rules:

- SRP will conduct a comprehensive survey of market with institutions associated with structured products during October 3, 2016 to October 31, 2016.
- Respondents will vote for various awards under the categories of manufacturers, service providers and other awards, whose results will be independently verified by SRP.
- Distributor and private banking awards are based on SRP's proprietary database and submission of product data by the candidates covering from **01-Oct-2015** and **30-Sep-2016**.
- Some awards will involve editorial-based submission with winners determined by a panel of judges.

Methodology – Manufacturer Awards

Survey of Market (80% weighting)

- Respondents will be rated by volume (40% weighting);
- Buy side respondents will rate each manufacturer across all asset classes on qualitative factors (40% weighting):
 - price competitiveness
 - innovation and structuring capability
 - understanding client needs
 - after-sales support

Industry Peer Review (20% weighting)

- Each manufacturer will name the top three manufacturers (apart from itself) for each award category.

Editorial

- Some awards require a submission of 400-words application to be measured against the key criteria (suitability, degree of complexity and innovation, volume of sales) as well as relevant product data.

	Survey of Market	Industry Peer Review
Best House, Europe	√	√
Best House, Middle East and Africa	√	√
Best House, Equities	√	√
Best House, Foreign Exchange	√	√
Best House, Interest Rates	√	√
Best House, Credit	√	√
Best House, Commodities	√	√
Best House, Warrants	√	√

Methodology – Distributor/ Private Bank Awards

Distributors

SRP Database

- Winners are calculated by the annual sales (50%) and performance data (50%) covered in **SRP's** proprietary database.

Submission of Product Data

- Candidates will supply all product brochures/term sheets with full sales and performance data per product, distributed between **01-Oct-2015** and **30-Sep-2016**.

Private Banks

Editorial

- The Best Private Bank awards require a submission of 400-words application to be measured against the key criteria (product innovation, understanding client needs, after sale service) as well as relevant product data.

Submission of Product Data

Candidates will supply product brochures/term sheets.

Categories	SRP Database	Submission of Product Data	Editorial
Best Distributor, Europe	√	√	
Best Distributor, Benelux	√	√	
Best Distributor, Eastern Europe	√	√	
Best Distributor, France	√	√	
Best Distributor, Germany and Austria	√	√	
Best Distributor, Nordics	√	√	
Best Distributor, Southern Europe	√	√	
Best Distributor, Switzerland	√	√	
Best Distributor, UK and Ireland	√	√	
Best Private Bank, Europe	√	√	√
Best Capital Protected Distributor, Europe	√	√	
Best Yield Enhancement Distributor, Europe	√	√	
Best Leverage Distributor, Europe	√	√	

Methodology – Service Providers

Survey of Market (100% weighting)

- All respondents will vote for one candidate in each category based on various key criteria. The winners are determined by the total number of votes they receive.

Categories	Survey of Market	Editorial	Key Criteria
Best Index Provider	√	√	Innovation, Price competitiveness, Understanding client's needs
Best Issuance Platform	√		Technology innovation, Post-trade service, Breadth of coverage, User interface
Best Technology Provider	√		Technology innovation, Infrastructure, Trade execution, User interface
Best Pricing and Analytics Provider	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best KID Provider	√	√	Infrastructure, Execution, User interface
Best Trade Association	√	√	Education, defending the industry, Communication
Best Law Firm	√	√	Depth of knowledge, market responsiveness, explain complex concepts
Best SP and Derivatives Exchange	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best ETF House	√	√	Product Innovation, price competitiveness, customer satisfaction
Best Proprietary Index Provider	√	√	Product Innovation, price competitiveness, customer satisfaction
Best Smart Beta Index Provider	√		Product Innovation, price competitiveness, customer satisfaction

Methodology – Other Awards

Survey of Market

- All respondents will vote for one candidate in each category based on various key criteria. The winners are determined by the total number of votes they receive.

Editorial

- The candidate will submit 400-words application to be measured against the key criteria.

Categories	Survey of market	Editorial	Key Criteria
Best Educational Initiative		√	Comprehensiveness, Clarity, Accessibility of educational material
Personality of the Year		√	Peer recognition, Long-term industry initiatives, Integrity
Best Private Banking Deal		√	Product size, product performance, innovation, customer satisfaction
Best Insurance Deal		√	Product size, product performance, innovation, customer satisfaction
Deal of the Year		√	Product size, product performance, innovation, customer satisfaction
Smart Beta Strategy of the Year		√	Innovation, Customer satisfaction