



Nordics Structured Products & Derivatives Awards

Stockholm | 27 September 2017

Awards Methodology 2017

The methodology outlines the criteria by which **SRP** judges the activity of Manufacturers, Distributors and Service Providers in the market.

To vote please visit StructuredRetailProducts.com.

Please note that this methodology is for the Nordics Structured Products & Derivatives Awards 2017, with the results to be collected from the Nordics Structured Products & Derivatives Awards survey running until **30 June 2017**.



SRP Nordics Awards 2017

CATEGORIES

www.StructuredRetailProducts.com's (SRP's) Nordics ranking acknowledges the best Manufacturers, Distributors and Service Providers in the following categories:

Manufacturers	Distributors/ Private Banks	Service Providers	Other Awards
Best House, Nordics	Best Distributor, Capital Protected Products - Nordics	Best Index Provider	Best Educational Initiative
Best House, Sweden	Best Distributor, Capital Protected Products - Sweden	Best Issuance Platform	Personality of the Year
Best House, Finland	Best Distributor, Capital Protected Products - Finland	Best Law Firm	Deal of the Year
Best House, Denmark	Best Distributor, Yield Enhancement Products - Nordics	Best Smart Beta Index Provider	Best Technological Solution
Best House, Norway	Best Distributor, Yield Enhancement Products - Sweden	Best SP & Derivatives Exchange	
	Best Distributor, Yield Enhancement Products - Finland	Best Pricing and Risk Analytics Provider	
	Best Distributor, Yield Enhancement Products - Denmark	Best KIDS and PRIIPS Provider	
	Best Distributor, Yield Enhancement Products - Norway		
	Best Private Bank - Nordics		





Awards Methodology

GENERAL RULES

- SRP will conduct a comprehensive survey of the market with institutions associated with Structured Products & Derivatives until 30th June 2017.
- Respondents will vote for the following categories: Manufacturers, Service Providers and Personality of the Year, with the results independently verified by SRP.
- Distributor and Private Banking awards will be based on SRP's proprietary database and the submission of product data by candidates between 1 April 2016 and 31 March 2017.
- Some awards will involve a 400-word, editorial submission with winners determined by a panel of judges.

To vote please visit StructuredRetailProducts.com/Nordics





Manufacturer Awards

Manufacturers or creators of structured products (which does not include distributors) will be rated by volume, according to SRP data between 1 April 2016 and 31 March 2017. The top 5 manufacturers by volume will then be shortlisted for the award.

Each shortlisted Manufacturer will be asked to provide the contact details of three buy-side clients, which will be contacted until 30th June 2017. The winner will be chosen by an independent panel, which will evaluate buy-side feedback on the basis of the following criteria:

- Price Competitiveness
- Innovation and Structuring Capability
- Understanding Client Needs
- Aftersales Support

	SRP Database	Client Feedback and Editorial Panel
Best House, Nordics	√	√
Best House, Sweden	√	√
Best House, Finland	√	√
Best House, Denmark	√	√
Best House, Norway	√	√





Methodology - Distributor/Private Bank Awards

Distributors

SRP Database

- Winners are calculated by the annual sales (50%) and performance data (50%) covered in SRP's proprietary database.

Submission of Product Data

- Candidates will supply all product brochures or term sheets with full sales and performance data per product, distributed between 1 April 2016 and 31 March 2017.

Private Bank Award

Editorial

- The Best Private Bank awards requires a 400-word submission detailing product innovation, understanding client needs and aftersales service, as well as relevant product data.

Categories	SRP Database	Submission of Product Data	Editorial
Best Distributor, Capital Protected Products - Nordics	√	√	
Best Distributor, Capital Protected Products - Sweden	√	√	
Best Distributor, Capital Protected Products - Finland	√	√	
Best Distributor, Yield Enhancement Products - Nordics	√	√	
Best Distributor, Yield Enhancement Products - Sweden	√	√	
Best Distributor, Yield Enhancement Products Finland	√	√	
Best Distributor, Yield Enhancement Products - Denmark	√	√	
Best Distributor, Yield Enhancement Products - Norway	√	√	
Best Private Bank - Nordics	√	√	√





Service Providers

SRP Database

- Shortlist is calculated according to the total annual in the Nordics SRP's proprietary databases between April 1, 2016 and March 31, 2017.

Editorial

- Each shortlisted candidate will need to submit up to a 400-word application to be measured against the criteria. The winner will be selected by an independent panel of judges convened and chaired by SRP.

Categories	SRP Database	Editorial	Criteria
Best Index Provider		√	Innovation, price competitiveness, understanding client needs
Best Issuance Platform		√	Technology innovation, post-trade service, breath of coverage, user interface
Best Law Firm		√	Depth of knowledge, market responsiveness, explaining of complex concepts
Best Smart Beta Index Provider	√	√	Product Innovation, Performance, Customer Satisfaction
Best SP & Derivatives Exchange	√	√	Technology innovation, Infrastructure, Trade execution, User interface
Best Pricing and Risk Provider		√	Pricing capacity, Risk Model Capacity, User interface, Integration
Best KIDs and PRIIPS Provider		√	Technology innovation, post-trade service, breath of coverage, user interface





Other Awards

Survey of the Market

- SRP will conduct a comprehensive survey of the market with institutions associated with structured products until 30th June 2017.

Editorial

- Each shortlisted candidate will need to submit up to a 400-word application to be measured against the criteria. The winner will be selected by an independent panel of judges convened and chaired by **SRP**.

Categories	Survey of Market	Editorial	Criteria
Best Educational Initiative		√	Comprehensiveness, clarity, accessibility of educational material
Personality of the Year	√		Peer recognition, Long-term industry initiatives, Integrity
Deal of the Year		√	Product size, product performance, customer satisfaction
Best Technological Solution		√	Technology innovation, infrastructure, trade execution, user interface

To vote please visit StructuredRetailProducts.com/Nordics



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