



SRP's Americas Wealth Management & Derivatives Conference

Boston | 14-16 June 2017

Shortlist for the Americas Structured Products & Derivatives Awards 2017

MANUFACTURER AWARDS

Best House, Americas

Bank of America Merrill Lynch
BMO Financial
BBVA
Citi
JP Morgan

Best House, US

BNP Paribas
Citi
Goldman Sachs
HSBC
JP Morgan

Best House, Canada

BMO Financial
Bank of America Merrill Lynch
Citi
JP Morgan
Scotiabank

Best House, Latin America

BBVA
Citi
Monex
Morgan Stanley
Scotiabank

Best House, Mexico

BBVA
Citi
Monex
Santander
Scotiabank

Best House, Equities

BBVA
Bank of America Merrill Lynch
BMO Financial
Citi
JP Morgan

Best House, Interest Rates

BBVA
Citi
JP Morgan
Santander
Scotiabank

Best House, Foreign Exchange

BBVA
Citi
HSBC
Monex
Santander

Best House, Hybrids

BMO Financial
Barclays
Citi
JP Morgan
Morgan Stanley

Best House, Commodities

Bank of America Merrill Lynch
BMO Financial
BNP Paribas
Citi
Desjardins Group



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PROVIDER/DISTRIBUTOR AWARDS

Best Distributor, Americas

BBVA
Credit Suisse
Goldman Sachs
JP Morgan
Monex

Best Distributor, US

Barclays
Credit Suisse
Goldman Sachs
JP Morgan
Morgan Stanley

Best Distributor, Brazil

BNP Paribas
BTG Pactual
Citi
Itau
Santander

Best Distributor, Canada

BMO Financial
CIBC
Desjardins
National Bank of Canada
Scotiabank

Best Distributor, Mexico

BBVA
Citi
Monex
Santander
Scotiabank

Best Independent Broker- Dealer, Latam

Subject to Editorial
submission

Best Independent Broker- Dealer, US

Advisors Asset Management
Incapital
Janney Montgomery Scott
LPL Financial Holdings
Raymond James

Best Private Bank, Americas

Goldman Sachs Private Banking
Itau
Morgan Stanley Wealth Management
Santander
UBS Financial Services

Best Capital Protected Distributor, Americas

Citi
Desjardins
Itau
JP Morgan
Santander

Best Yield Enhancement Distributor, Americas

Barclays
BBVA
Credit Suisse
Goldman Sachs
Monex

Best Performance, Americas

BBVA
Credit Suisse
Itau
Monex
Santander

Best Performance, US

Barclays
Credit Suisse
Goldman Sachs
Morgan Stanley
Wells Fargo

Best Performance, Latam

BBVA
Citi
Itau
Monex
Santander

Best Performance, Canada

BMO Financial
CIBC
National Bank of Canada
RBC
Scotiabank



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SERVICE PROVIDERS

Best Structured Products and Derivatives Exchange, Americas

B3
Chicago Board Options Exchange
CME Group
New York Stock Exchange
TMX Toronto Stock Exchange

Best Index Provider, Americas

FTSE Russell
MSCI
NYSE
S&P Dow Jones Indices
Stoxx

Best Proprietary Index Provider, Americas

BMO Financial
BNP Paribas
Citi
Goldman Sachs
JP Morgan

Best Issuance Platform, Americas

BNP Paribas - Smart
Derivatives
Goldman Sachs - Simon
JP Morgan - Nexus
SG Markets SP
UBS - Equity Investor

Best Law Firm, Americas

Cadwalader, Wickersham & Taft
Davis Polk & Wardwell
Morrison & Foerster
Norton Rose Fulbright
Sullivan & Cromwell

Best Technology Provider, Americas

Bloomberg
Lexifi
Murex
Numerix
Thomson Reuters Pricing Partners

Best Pricing and Risk Provider, Americas

Bloomberg
IBM
Numerix
RiskMetrics
Superderivatives

Best Smart Beta Index Provider, Americas

MSCI
Nasdaq
FTSE Russell
S&P Dow Jones Indices
Stoxx



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OTHER AWARDS

Best Educational Initiative, Americas

Subject to editorial adjudication

Best ETF House, Americas

Blackrock
BMO
Credit Suisse
Invesco Powershares
JP Morgan

Personality of the Year

To be announced at the Awards ceremony

Best Structured Fund Deal

Subject to editorial adjudication

Deal of the Year

BBVA
Citi
Desjardins
Itau
JP Morgan

Proprietary Index of the Year

BMO Smart Volatility Index
JP Morgan Efficiente Plus DS 5 Index
GS Momentum Builder Multi-Asset 5S ER Index
NYSE Zebra Edge Index